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Date: 11/30/06

By: [Signature]

Rena lov

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

MURALIDHARA, HARAPANAHALLI S. *ET AL.*

APPLICATION No.: 09/909,488

FILED: JULY 20, 2001

FOR: **NANOFILTRATION WATER-SOFTENING
APPARATUS AND METHOD**

EXAMINER: ANA M. FORTUNA

ART UNIT: 1723

CONF. No: 2586

**Supplemental Information Disclosure Statement After Notice of
Appeal but Before Payment of Issue Fee – 37 C.F.R. § 1.97(d)**

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

This information is being filed after a Notice of Appeal, but before payment of the Issue Fee.

2. Petition – 37 C.F.R. § 1.97(d)

Applicants petition the Patent and Trademark Office to accept this Supplemental Information Disclosure Statement in light of the certification under 37 C.F.R. § 1.97(e) below, and payment of the fee under 37 C.F.R. § 1.97(d)(2).

3. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references
☐ References marked by asterisks
☐ The following:

12/05/2006 DEMMANU1 00000014 502586 09909488

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☐ Copies of the following references can be found in parent U.S. Application No. _____ :

- ☐ All cited references
- ☐ References marked by asterisks
- ☐ The following:

☐ This application was filed after 30 June 2003 and no copies of U.S. patents nor published applications are enclosed (See Notice of Deputy Commissioner Kunin on 11 July 2003).

4. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

5. Certification Under 37 C.F.R. § 1.97(e) (check one)

- ☒ In accordance with 37 C.F.R. § 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing of this statement; or
- ☐ In accordance with 37 C.F.R. § 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of this statement.

6. Fee Payment – 37 C.F.R. § 1.97(d)(2)


- ☐ Check enclosed for \$ _____ Information Disclosure Statement Fee as set forth in 37 C.F.R. §1.17(p).
- ☒ Please charge the requisite fee for filing this Supplemental Information Disclosure Statement to Deposit Account No. 50-2586.
- ☒ Please charge any underpayment for timely filing of this paper to Deposit Account No. 50-2586.

7. Patent Term Adjustment (37 C.F.R. § 1.704(d))

- ☒ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. §1.704(d).

Date: Nov 30, 2006

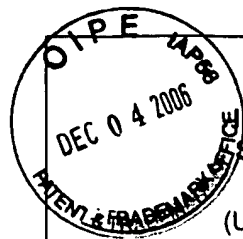
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**Form PTO-1449 (Modified)
(Use several sheets if necessary)**COMPLETE IF KNOWN**

Application Number	09/909,488
Confirmation Number	2586
Filing Date	July 20, 2001
First Named Inventor	Harapanahalli S. Muralidhara
Group Art Unit	1723
Examiner Name	Ana M. Fortuna
Attorney Docket No.	33449.8029.US00

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent or Application		Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		NUMBER	Kind Code (if known)			
	AA	US 6,423,230 B2		Ilias et al.	07/23/02	
	AB	US 2004/0222158 A1		Hussain et al.	11/11/04	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.	Foreign Patent or Application			Name of Patentee or Applicant of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
		Office	NUMBER	Kind Code (if known)				

OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.	T
	AC	PCT International Search Report for International Application No. PCT/US06/26812 dated October 30,, 2006 (2 pages).	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application(s).